

# Introduction to Proofs - Countability - Diagonalization

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Slides available at: [mikepawliuk.ca](http://mikepawliuk.ca)

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# Learning Objectives

By the end of this video, participants should be able to:

- ① Define uncountability of a set.
- ② Apply Cantor's Diagonalization to a list of real numbers (finite or countable).

# 1. Motivation

## Motivation

We have seen that  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{N} \times \mathbb{N}$  are all countable sets. Is every set either finite or countable?

No. We will see that  $\mathbb{R}$  is infinite and not countable. We will use a non-trivial technique called “diagonalization”.

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Idea. We think of:

- finite sets as extremely small,
- countable sets as small and infinite,
- uncountable sets as large and infinite.

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Note that if  $i \neq j$ , then  $f(a_i) \neq f(a_j)$  by construction. So  $f$  is an injection.



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#### Motivation

Suppose that  $x$ ,  $y$  and  $z$  are all unknown real numbers between 0 and 1. How can you find a new number  $a$  that is different from  $x$ ,  $y$ , and  $z$ , and is between 0 and 1?

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We will use a method called Cantor's diagonalization.

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$$z = 0.90\boxed{0}$$

$$a = 0.217$$

- $a \neq x$  because the first digit of  $a$  is 2, and the first digit of  $x$  is 1.
- $a \neq y$  because the second digit of  $a$  is 1, and the first digit of  $y$  is 0.
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#### Reflection

- How many digits do we need to diagonalize a list with 2020 numbers?
- How many digits do we need to diagonalize a list with countably many numbers?
- Will this process always give us a real number between 0 and 1?
- How can we formalize, or automate, the idea of choosing a different digit?

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## 4. $[0, 1]$ is uncountable

Theorem (Cantor)

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Proof.

Clearly  $[0, 1]$  is infinite. Suppose that  $f : \mathbb{N} \rightarrow [0, 1]$  is a function. We will show that it is not a surjection by constructing an  $a \in [0, 1]$  that is not equal to any  $f(n)$ .

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$$f(1) = 0.x_{11}x_{12}x_{13}\dots$$

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$$a = 0.p(x_{11})p(x_{22})p(x_{33})$$

Here  $p : \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\} \rightarrow \{0, 1\}$  is defined by  $p(0) = 1$ , and  $p(1) = p(2) = \dots = p(9) = 0$ .

So  $a \in [0, 1]$  and  $a \neq f(n)$  for each  $n \in \mathbb{N}$ .



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# Reflection

- What does diagonalizing a list produce?
- What is the role of the  $p$  function in Cantor's diagonalization proof?
- How did we know that  $a \neq f(1)$  in Cantor's diagonalization proof?
- Wait, there are different sizes of infinity?!